



Distr. LIMITED

UNEP(DEPI)/CAR WG.41/INF.25 Rev.1  
8 June 2021

Original: ENGLISH

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Fifth Meeting of the Scientific and Technical  
Advisory Committee (STAC) to the Protocol  
Concerning Pollution from Land-Based Sources  
and Activities in the Wider Caribbean.

Virtual

15 to 17 March 2021

## **Revised Template for national reporting on the Cartagena Convention and its Protocols**

*For reasons of public health and safety associated with the COVID-19 pandemic, this meeting is being convened virtually.  
Delegates are kindly requested to access all meeting documents electronically for download as necessary.*

*Convention for the Protection and Development of the Marine Environment of the Wider Caribbean Region*

*Template for national reporting on the Convention and its Protocols*

*For the year 20.....(Biennial Report from January 1 20xx through December 31)*

## **Introduction**

The Convention for the Protection and Development of the Marine Environment of the Wider Caribbean Region, known as the Cartagena Convention, is a legally binding regional agreement for the protection and development of the Wider Caribbean Region. The Convention focuses on land-based sources of pollution, dumping of wastes at sea, pollution from ships, biodiversity protection, and airborne pollution among other things. Within the Convention, three protocols have been developed to deal with these issues: the Oil Spills Protocol; the Specially Protected Areas & Wildlife Protocol (SPA); and Land-Based Sources and Activities Protocol (LBS).

Consistent with the Parties' obligations under Article 4 of the Convention to cooperate, assist each other, and endeavour to harmonize their policies, as well as with reporting requirements under the Protocols, this questionnaire will be used to facilitate transmission of information from Parties to the Secretariat and the sharing of information on issues related to the implementation of the Convention and its Protocols. The questionnaire consists of two parts.

1. Part A requires the respondent to provide general information on the Party submitting the report, such as contact details and name of the officer submitting the report. It is important that all the relevant information be provided.
2. Part B requires the respondent to provide information on the measures taken by the Party to implement relevant provisions of the Cartagena Convention and its Protocols and on the effectiveness of such measures in meeting the objectives of the Convention and its Protocols.

When information is not available, please indicate as necessary. Should you wish to provide any additional information, please do so by attaching it to the back of your report. All reports should be submitted to the Cartagena Convention Secretariat no later than three months prior to the next Intergovernmental Meeting. If you have any questions or require further assistance, please do not hesitate to contact:

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**Part A**

Country:

Full name of the reporting institution:

Period Reported (DD/MM/YYYY): from \_\_\_\_\_ to \_\_\_\_\_

Name and Title of reporting officer:

Agency:

Mailing Address:

Tel.:

Fax:

E-mail:

Webpage:

Date the report was submitted (DD/MM/YYYY):

Signature: \_\_\_\_\_

**Part B**

This part requests information on the measures taken by the Party to implement the provisions of the Cartagena Convention and on the effectiveness of such measures in meeting the Convention's objectives, in particular with regard to Articles 3, 11 and 22 of the Convention.

**Section 1: Designated National Focal Point, Institution and Implementation Plans -  
Articles 4, 15**

**1. Does your country have a designated National Focal Point for the Cartagena Convention?**

Yes ( )

No ( )

In Preparation ( )

**If same as section A please proceed to next question ( )**

If yes, please provide:

- Name of Organization:
- Contact Person:
- Job Title:
- Department:
- Address:
- Tel:
- Fax:
- Email:
- Website:

**2. Does your country have a designated National Agency/Ministry/Institution or other appropriate authority for coordinating the implementation of the Cartagena Convention (Article 15, paragraph 2)?**

Yes ( )

No ( )

In Preparation ( )

**If same as question 1 please proceed to next question ( )**

If yes, please provide:

- Name of Organization:
- Contact Person:
- Job Title:
- Department:
- Address:
- Tel:
- Fax:
- Email:
- Website:

**3. Has your country developed an implementation plans(s) to carry out the general obligations of the Cartagena Convention? (Article 4)**

Yes ( )

No ( )

In Preparation ( )

Existing Plan(s) ( )

If yes or in preparation, please provide brief details of all of the main implementation plan(s) that are developed and/or being used in your country, or that are in preparation.

If no, please describe why not.

If plans exist, please specify what existing frameworks are being used for implementation of the Convention.

Please share as Annexes any best practices, lessons learned or case studies relating to the implementation of the Cartagena Convention and its Protocols developed during the reporting period.

**4. Has your country received any external financial assistance to develop and/or implement existing plan(s)?**

Yes ( )

No ( )

If yes, please identify the source of the assistance.

If no, please state why not.

**5. Has your country experienced any difficulties in the implementation of the above-mentioned plan(s)?**

Yes ( )

No ( )

If yes, please describe why with details.

**Section 2: Measures to Reduce Marine Pollution from Ships, Caused by Discharges or Dumping, from Exploration or Exploitation of the Seabed , or from Discharges to the Atmosphere**  
- Articles 5, 6, 8, 9

**1. Is there a national definition within existing pollution related legislation or regulations for “Pollution from Ships”, “Discharging or Dumping of wastes at sea”, “Exploration or Exploitation of the Sea-Bed Activities”, and “Discharges (emissions) to the Atmosphere” (Articles 5, 6, 8, 9)?**

Yes ( )

No ( )

In Preparation ( )

If yes, please provide the text of the national definitions

Discharges from ships:

Dumping of wastes and other matter at sea:

Exploration or exploitation of the seabed:

Discharges (air emissions) into the atmosphere:

- 2. Has your country taken any measures since the last reporting period necessary to prevent, reduce and control the abovementioned sources of pollution in the Convention area?**

Yes ( )

No ( )

In Preparation ( )

If yes or in preparation, please provide the details of the major actions taken since the last reporting period:

If no, briefly state why not.

- 3. Are there any other sources and/or types of pollution including emerging contaminants that may affect coastal and marine resources in the Convention area which require special consideration in your country?**

Yes ( )

No ( )

If yes, please specify and answer Question 4 below. If no, please proceed to Section 3.

- 4. Does your country have any national policies, laws, or plans for marine pollution prevention, reduction and control for these activities requiring special consideration?**

Polices:            Yes ( )                            No ( )                            In Preparation ( )            Other ( )

Laws:                Yes ( )                            No ( )                            In Preparation ( )            Other ( )

Plans:                Yes ( )                            No ( )                            In Preparation ( )            Other ( )

If yes or in preparation, please provide brief details.

Provide a website or URL reference to link the response to the appropriate information that is maintained by your country.

If no, describe why not.

If other, specify.

**Section 3: Cooperation in Cases of Emergency – Article 11**

- 1. Has your country experienced any pollution emergencies in the Convention area (including emergencies in which the Convention area is in imminent danger of being polluted or already polluted)?**

Yes ( )

No ( )

If yes, please describe the details and answer the question below.

Provide a website or URL reference to link the response to the appropriate information that is maintained by your country.

- 2. In regard to the question above, did your country respond to the situation through any of the following:**

- A. National Contingency Plans
- B. Sub-Regional and/or Regional Contingency Plans
- C. Cooperation with Contracting Parties
- D. Cooperation with other UN organizations

If cooperation took place with other Contracting Parties and/or UN Agencies, please specify the name of Contracting Parties and/or UN agency you have worked with and briefly describe the experience.

Provide a website or URL reference to link the response to the appropriate information that is maintained by your country.

If no response was required, describe why not.

- 3. Has your country, jointly with another country or with other countries, developed and/or implemented any contingency plans for responding to incidents involving pollution or the threat thereof in the Convention area?**

Yes ( )

No ( )

If yes, please list the contingency plans developed and/or implemented and the countries involved.

Provide a website or URL reference to link the response to the appropriate information that is maintained by your country.



**Section 4: Environmental Impact Assessment – Article 12**

1. **Does your country currently have any technical and other guidelines (e.g., EIAs) to assist the planning of major development projects in such a way as to prevent or minimize harmful impacts on the Convention Area?**

Yes ( )

No ( )

In Preparation ( )

Other ( )

If yes or in preparation, please provide brief details.

Provide a website or URL reference to link the response to the appropriate information that is maintained by your country.

If no, describe why not.

If other, specify.

**Section 5: Scientific and Technical Cooperation – Article 13**

1. **Does your country have technical cooperation agreements with any other Contracting Parties and/or agencies relating to the purposes of the Convention?**

Yes ( )

No ( )

If yes, please provide the names of cooperating Parties and/or agencies with areas of cooperation covered by the agreements.

Provide a website or URL reference to link the response to the appropriate information that is maintained by your country.

If no, describe areas in which such agreements are desired.

If other, specify.

**Section 6: Adoption/Amendment of the Convention and its Protocols – Articles 17,18**

1. **Please indicate the status of ratification/accession of the existing Protocols to the Cartagena Convention.**

Cartagena Convention and the Oil Spill Protocol:  
SPAW Protocol:

LBS Protocol:

If your country has not yet ratified/acceded to the Cartagena Convention or any of the Protocols listed above, please provide a summary of efforts towards ratification/accession

**2. Does your country currently have any plan to propose any amendments to the Cartagena Convention?**

Yes ( )

No ( )

If yes, please provide a brief summary of your proposals.

Please identify new and/or emerging issues that the Secretariat and Contracting Parties may wish to consider for future incorporation into the work of the Conventions and its Protocols.

**Section 7: The Protocol Concerning Cooperation in Combating Oil Spills in the Wider Caribbean Region**

**1. Does your country have a designated Focal Point for the Oil Spills Protocol?**

Yes ( )

No ( )

In Preparation ( )

**If same as section B please proceed to next question**

If yes, please provide:

- Organization:
- Contact Person
- Job Title:
- Department:
- Address:
- Tel:
- Fax:
- Email:
- Website:

**2. Does your country currently have any national policies, laws, or plans for prevention, reduction, and control of oil spill pollution?**

Polices:            Yes ( )                            No ( )                            In Preparation ( )                            Other ( )

Laws:                Yes ( )                            No ( )                            In Preparation ( )                            Other ( )

Plans:                Yes ( )                            No ( )                            In Preparation ( )                            Other ( )

If yes or in preparation, please provide brief details.

Provide a website or URL reference to link the response to the appropriate information that is maintained by your country.

If no, describe why not.

If other, specify.

**3. Has your country established any national operational measures such as establishing national oil spill contingency plans for responding to oil spill incidents (Article 7 of the Oil Spills Protocol)?**

Yes ( )

No ( )

In Preparation ( )

If yes or in preparation, please provide the details.

Provide a website or URL reference to link the response to the appropriate information that is maintained by your country.

If no, briefly state why not.

**4. Has your country experienced any oil-spill incidents since 1986 (Article 1, paragraph 4)? Please indicate whether any of these incidents resulted in major consequences to the coastal and marine environment.**

Yes ( )

No ( )

If yes, please specify the details of every incident and the response measures taken.

**Section 8: The Protocol Concerning Specially Protected Areas and Wildlife (SPAW) - Article 4, 10, 11, 20, 21**

**1. Does your country have a designated Focal Point for the SPAW Protocol?**

Yes ( )

No ( )

In Preparation ( )

**If same as section B please proceed to next question**

If yes, please provide:

- Organization
- Contact Person
- Job Title:
- Department:
- Address:
- Tel:
- Fax:
- Email:

- Website:

**2. Does your country currently have any national policies, laws, mechanisms, or measures for the protection of Wild Flora and Fauna?  
(Article 10 of the SPAW Protocol)**

|          |         |        |                    |           |
|----------|---------|--------|--------------------|-----------|
| Polices: | Yes ( ) | No ( ) | In Preparation ( ) | Other ( ) |
| Laws:    | Yes ( ) | No ( ) | In Preparation ( ) | Other ( ) |
| Plans:   | Yes ( ) | No ( ) | In Preparation ( ) | Other ( ) |

If yes or in preparation, please provide references for legal texts and other relevant documents and give a link to the websites where the documents can be found (including the list of protected species and the list of recovery plans).

Provide a website or URL reference to link the response to the appropriate information that is maintained by your country.

If no, briefly state why not.

If other, specify.

**3. Has your country established any protected areas pursuant to the SPAW Protocol?  
(Article 4 of the SPAW Protocol)**

|         |        |                    |
|---------|--------|--------------------|
| Yes ( ) | No ( ) | In Preparation ( ) |
|---------|--------|--------------------|

If yes or in preparation, please provide:

- The total number of the protected areas established and the list of protected areas that have been established since the last report to the Organization:
- Location of each PA established since the last report (GPS Coordinates if available):
- Legal status of each and existence, or current development, of a specific management plan.
- Provide a website or URL reference to link the response to the appropriate information that is maintained by your country.

**4. Has your country identified all of the endangered / threatened species listed in Annexes I, II, and III of the SPAW Protocol that are within your country (i.e. within areas over which your country exercises sovereignty, sovereign rights, or jurisdiction)?**

Yes ( )

No ( )

In Preparation ( )

If yes, please provide a list of endangered / threatened species in your country (please refer to the Annex I, II, and III of the SPAW Protocol) since the end of the last reporting period.

Provide a website or URL reference to link the response to the appropriate information that is maintained by your country.

If no, provide a timeframe necessary to do so.

**5. Has your country taken measures to ensure strict protection of the endangered/threatened species listed in Annexes I and II (Article 11.1(a) and 11.1(b) of the SPAW Protocol)?**

Yes ( )

No ( )

Partially ( )

In preparation ( )

If yes, please list which measures (types of legal texts, prohibitions and/or regulations that they create)

Provide a website or URL reference to link the response to the appropriate information that is maintained by your country.

**6. Has your country formulated, adopted, and implemented any plans for the management and use of species listed in Annex III (Article 11.1 (c) of the SPAW Protocol)?**

Yes ( )

No ( )

In Preparation ( )

If yes or in preparation, please provide a reference to the implementation plans for the species that have been developed.

Provide a website or URL reference to link the response to the appropriate information that is maintained by your country.

If no, briefly state why not.

If other, specify.

**7. Has your country adopted exemptions to species protection (Articles 11.2 and 14 of the SPAW Protocol)?**

Yes ( )

No ( )

In Preparation ( )

If yes, please provide:

- The list of species for which exemptions to strict protection have been adopted:

- For each species:

The reason/purpose (scientific, traditional use, etc.) why the exemption was adopted  
 The level of takes and/or killings and/or captures that is allowed per year  
 To the extent possible, an estimate of the total species population size

Provide a website or URL reference to link the response to the appropriate information that is maintained by your country.

**8. Did your country make any changes in the delimitation of protected areas and/or to changes in their status (Article 15 of the SPAW Protocol)?**

Yes ( )

No ( )

If yes, provide the list of protected areas whose status or delimitation was changed and reasons for these changes.

Provide a website or URL reference to link the response to the appropriate information that is maintained by your country.

**9. Did your country make any changes in the legal status of species listed in Annexes I, III, or III (Article 15 of the SPAW Protocol)?**

Yes ( )

No ( )

If yes, provide a list of species whose status or delimitation was changed and the reasons for these changes.

Provide a website or URL reference to link the response to the appropriate information that is maintained by your country.

**10. Has your country incorporated into its laws or policies the common guidelines or criteria adopted under Article 21 of the SPAW Protocol?**

Yes ( )

No ( )

If yes or in preparation, please provide details.

Provide a website or URL reference to link the response to the appropriate information that is maintained by your country.

**Section 9: The Protocol Concerning Pollution from Land-Based Sources (LBS) and Activities - Articles I, III, VI, VII**

**1. Does your country have a designated Focal Point for the LBS Protocol?**

Yes ( )

No ( )

In Preparation ( )

**If same as section B please proceed to next question ( )**

If yes, please provide:

- Organization
- Contact Person
- Job Title:
- Department:
- Address:
- Tel:
- Fax:
- Email:
- Website:

**2. Is there a national definition of pollution from “Land-based sources and activities” (Article I (d))?**

Yes ( )

No ( )

In Preparation ( )

If yes, please present the definition here and/or provide list of land-based sources of pollution that are identified in legislation for your country.

**3. Does your country have any legislation and/or regulations for the prevention, reduction, and control of pollution from land-based sources and activities in the Convention area (Article III)?**

Yes ( )

No ( )

In Preparation ( )

If yes or in preparation, please provide the name of the legislation and/or regulations, a link to the text of the legislation and/or regulations, the pollutants being addressed and/or the scope of the legislation and/or regulations.

Provide a website or URL reference to link the response to the appropriate information that is maintained by your country.

This list may be attached as an Annex to this report.

If no, briefly state why not.

If other, specify.

**4. Does your country have national strategies, plans, programs, and/or measures for the control, reduction and prevention of marine pollution including National Programmes of Action (NPAs)? (Article III)**

Yes ( )

No ( )

In Preparation ( )

Other ( )

If yes, please provide brief details and a link to all of the national plans that are developed and/or used in your country. If in preparation, please describe the nature of what is being prepared and the expected timeline for completion.

Provide a website or URL reference to link the response to the appropriate information that is maintained by your country.

If no, please describe why not.

If other, please specify.

**5. Has your country developed new and/or amended existing national policies, laws, regulations, plans, for reducing LBS pollution over the reporting period?**

Yes ( )

No ( )

If yes, please specify briefly what new and/or amended national policies, laws, regulations, or plans have been developed.

If no, briefly state why not.

Provide a website or URL reference to link the response to the appropriate information that is maintained by your country.

If other, specify.

**6. Are there any other types and/or sources of LBS pollution other than those listed in Annex I of the LBS Protocol that require special consideration in your country?**

Yes ( )

No ( )

If yes, please specify what concerns have developed for the other sources of LBS pollution in your country.

**7. Does your country have any existing environmental pollution monitoring and assessment programmes to identify and assess patterns and trends in the environmental quality of the Convention Area and to assess the effectiveness of measures taken to implement the LBS Protocol as outlined in LBS Protocol Article VI?**



Yes ( )

No ( )

In Preparation ( )

If yes, please indicate the following:

- Frequency of monitoring:
- Type of substrate being monitored – water, sediment, biota?
- Location and Number of sites being monitored (GPS Coordinates if available):
- Parameters being monitored:

Provide a website or URL reference to link the response to the appropriate information that is maintained by your country.

Please provide detailed summaries of monitoring programmes in Annex 1 including any assessment of the economic and human health impact associated with pollution of the Convention Area.

If in preparation, please describe what is planned and the schedule for its completion.

If no, describe why not.

**8. Does your national government have access to pollution data that can be provided for inclusion in future State of Convention Area (SOCAR) Reports on Marine Pollution?**

Yes ( )

No ( )

If no, please indicate why not?:

If yes, please indicate where such data exists?:

**9. Has your country developed and adopted guidelines concerning environmental impact assessments (EIAs) or has your country generated EIAs consistent with the LBS Protocol, Article VII (2)?**

Yes ( )

No ( )

In Preparation ( )

If yes, please describe how often do you review and update those guidelines.

If in preparation, please describe what is planned and the schedule for its completion.

Provide a website or URL reference to link the response to the appropriate information that is maintained by your country.

If no, describe why not.

**10. Does your country have a total annual estimate of the pollutant loads to the marine environment for specific pollutants impacting the coastal and marine environment?**

Yes ( )

No ( )

In Preparation ( )

If yes, please provide details of the specific type of pollutants and estimated loads for the reporting period in Annex 2.

If in preparation, please describe what is planned and the schedule for its completion.

If no, describe why not.

**11. Has your country experienced any difficulties in the implementation of the LBS protocol and its Annexes?**

Yes ( )

No ( )

If yes, please describe those difficulties and possible reasons for them.

**12. What are major areas of technical assistance required to assist your country in implementing the obligations of the Cartagena Convention and its Protocols under the following categories.**

1. Capacity Building and Training: .....
2. Knowledge Management and Communications: .....
3. Governance: .....