

Cartagena Convention Secretariat

- ❖ Financial Sustainability
- ❖ Resource Mobilization Strategy
- ❖ 2021-2022 Proposed Budget

June 2021





UN 
environment
programme

Cartagena
Convention
Secretariat

Financial Status

Financial Sustainability



Level of Annual CTF Pledges

2008 – 2009: **US\$1,036,645** (increase to US\$1,300,333 approved)

2010 – 2011: **US\$1,115,424**

2013 – 2014: **US\$1,275,333**

2015 – 2016: **US\$1,264,308**

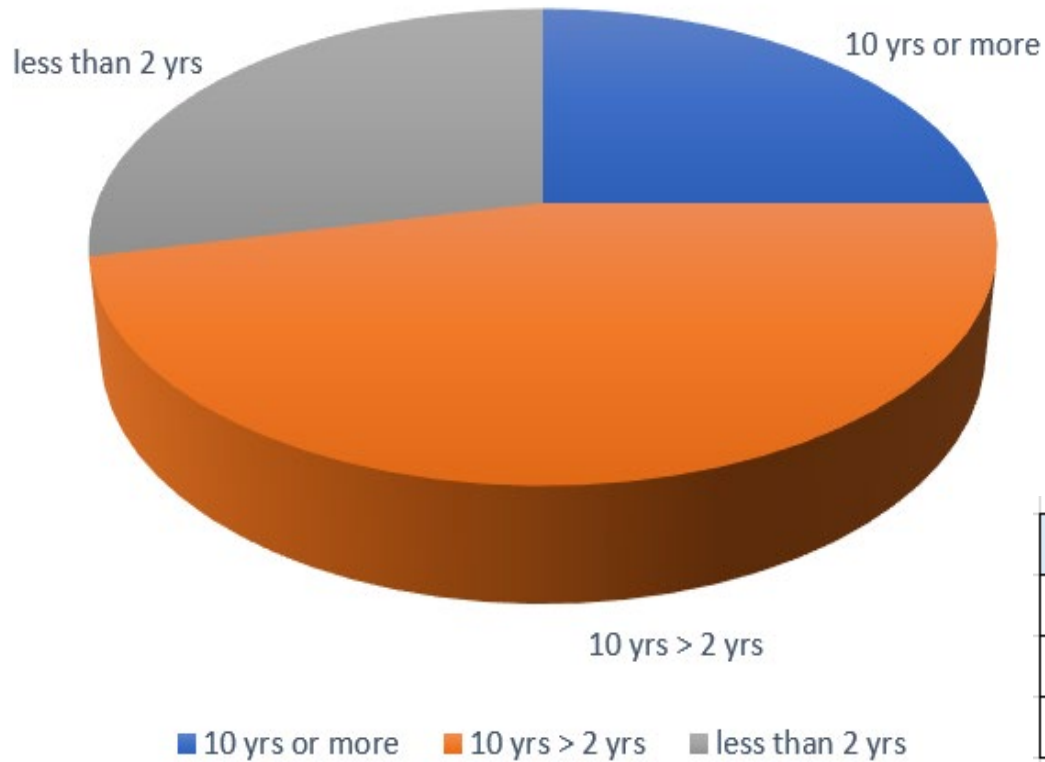
2017 – 2018: **US\$1,264,308**

2019 – 2020: **US\$1,264,308**

2021 – 2022: **US\$1,264,308**



Status of Contributions at 15 June 21



Period Outstanding	No of Counties	Amount US\$
10 yrs or more	7	3,280,344.74
10 yrs > 2 yrs	13	670,885.79
less than 2 yrs	8	138,993.96
		4,090,224.49

2021 CTF Pledges Received

State/Territory	Indicative Annual Contribution	Pledge Received
BARBADOS	12,791	12,791
BRITISH VIRGIN ISLANDS	7,547	7,547
COLOMBIA	22,914	66,098
DOMINICAN REPUBLIC	15,044	16,636
FRANCE	291,597	291,597
GUATEMALA	13,917	56,532
HAITI	12,791	12,771
HONDURAS	12,791	15,114
ST LUCIA	11,663	11,663
TRINIDAD & TOBAGO	15,044	30,088
USA	393,975	400,000
		920,836

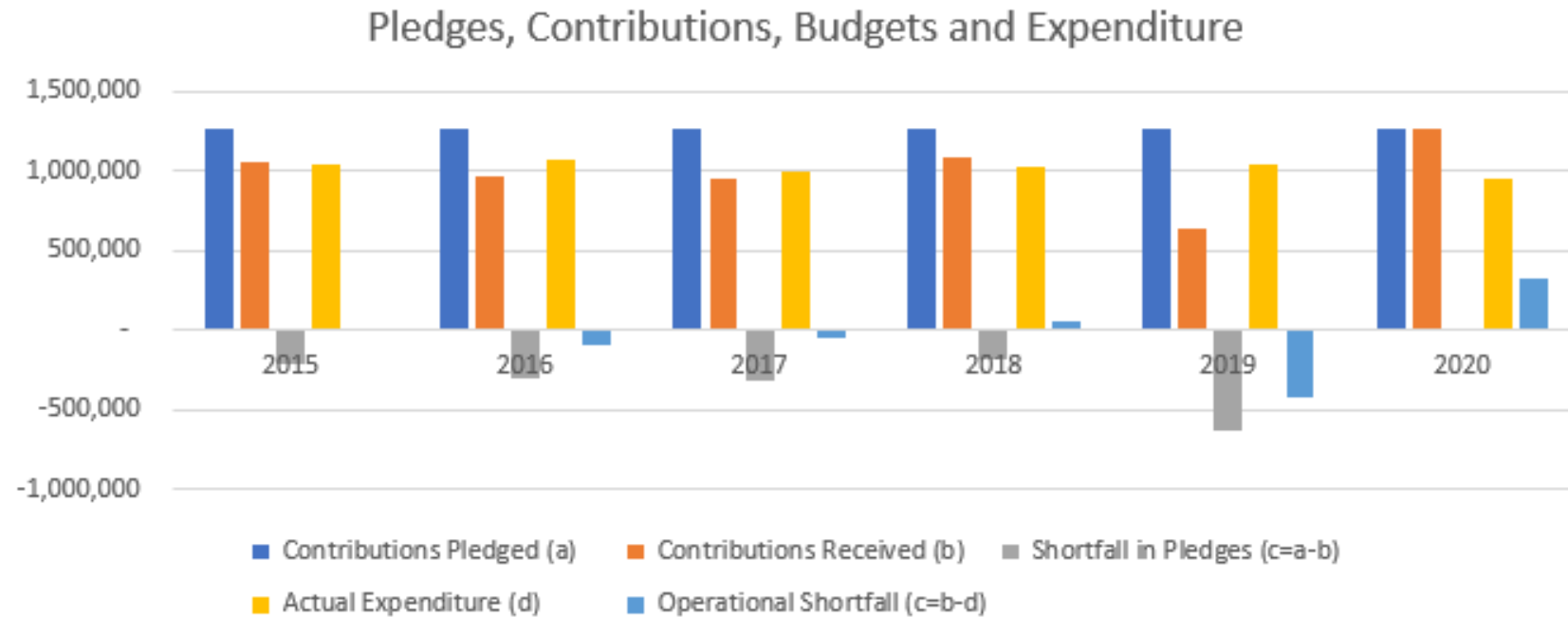


Outstanding CTF Pledges at 15 June 2021

State/Territory	Indicative Annual Contribution	Outstanding Contributions	No of years
ANGUILLA	12,791	89,537	7.0
ANTIGUA & BARBUDA	12,791	127,892	9.9
ARUBA	11,663	11,663	1.0
BAHAMAS	12,791	25,582	2.0
BELIZE	11,663	81,641	7.0
CARIBBEAN NETHERLANDS	6,000	6,000	1.0
CAYMAN ISLANDS	7,101	56,540	7.9
COLOMBIA	22,914	94,299	4.1
CO-OP REPUBLIC OF GUYANA	12,791	25,582	2.0
COSTA RICA	13,917	13,023	1.0
CUBA	24,059	469,467	19.5
CURACAO	10,000	10,000	1.0
DOMINICA - COMMONWEALTH	12,791	226,737	17.7
DOMINICAN REPUBLIC	15,044	11,793	1.0

State/Territory	Indicative Annual Contribution	Outstanding Contributions	No of years
GRENADA	12,791	306,358	23.9
GUATEMALA	13,917	27,834	2.0
HAITI	12,791	12,811	1.0
JAMAICA	18,514	33,705	1.8
MEXICO	40,000	40,000	1.0
MONTSERRAT	3,430	10,290	3.0
NICARAGUA	12,791	306,319	23.9
PANAMA	13,917	68,489	4.9
ST KITTS-NEVIS	3,430	10,290	3.0
ST LUCIA	11,663	53,335	2.5
ST VINCENT & THE GRENADINES	11,663	139,821	11.9
SURINAME	12,791	115,119	9.0
TURK & CAICOS ISLANDS	6,174	12,348	2.0
VENEZUELA	170,375	1,703,750	10.0
TOTAL	530,563	4,090,224	5.9

Managing the CTF funding gap:



Year	2015	2016	2017	2018	2019	2020
Contributions Pledged (a)	1,264,308	1,264,308	1,264,308	1,264,308	1,264,308	1,264,308
Contributions Received (b)	1,053,575	964,388	947,928	1,090,040	630,682	1,267,098
Shortfall in Pledges (c=a-b)	- 210,733	- 299,920	- 316,380	- 174,268	- 633,626	2,790
Actual Expenditure (d)	1,046,336	1,062,604	997,228	1,032,393	1,044,352	944,597
Operational Shortfall (c=b-d)	7,239	- 98,216	- 49,300	57,647	- 413,670	322,501

Project Funding

Project	2021 Budget	2022 Budget
GEF-IWEco	1,219,849	785,766
GEF-CReW+	476,000	4,818,802
German Govt. Marine Litter	85,000	0
German Plastics (pipeline)	830,406	1,673,649
ACP-MEA III - EU Funded	479,005	228,339
UN Human Security TF	94,600	0
PM of Sweden	120,000	0
	<u>3,304,860</u>	<u>7,506,556</u>

Programme Support Cost (*PSC/IDC/620TA*) funding


- 13% (or less) of expenditure (not applicable to GEF projects)
- G5 position abolished
- P4 position downgraded



Programme Support Cost (*PSC/IDC/620TA*)



TO: Directors of Administration, OAHs and Regional Commissions DATE: 30 December 2020
A: Executive Officers Directors/Chiefs of Mission Support,
Peacekeeping Missions, Special Political Missions

FROM: 
DE: Chandran Kamanathan
Assistant Secretary-General, Controller

SUBJECT: **Indirect costs fund (programme support costs) – operational procedures and requirements for 2021**
OBJET: **requirements for 2021**

2. Based on feedback from offices on the 2020 allocation, we conducted another review and extensive analysis on the composition of all costs and the methodology used in apportioning all costs. We have now adjusted the methodology in apportioning Umoja Project and Umoja maintenance costs. Effective 2021, the basis for apportionment of Umoja Project and Umoja maintenance costs has been changed to the proportionate head count attributed to voluntary funding sources rather than the level of IDC revenue, as the latter approach is not directly correlated with these types of costs. Cost-sharing based on the level of revenue will remain for MDM, GSDM and central support costs.



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Financial Status

Resource Mobilization



Resource Mobilization:

- Collaboration with RACs and RANs
- AMEP & SPAW Integration
- Enhanced partnerships with multi-lateral funds and governments
- Engagement with Contracting Parties



Questions? Concerns..... Comments!





Cartagena
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Secretariat

Financial Status

2021-2022
Proposed Budget



Cartagena Convention CTF: 2021-2022 Budget

Commitment Type	2021 Budget			2022 Budget		
	CTF-Funded	CTF-unfunded	2021 Total	CTF-Funded	CTF-unfunded	2021 Total
Personnel	910,000	1,500	911,500	910,000	1,500	911,500
Travel	30,000		30,000	30,000	125,000	155,000
Contractual Services	15,000	150,000	165,000	15,000	65,000	80,000
Operational Other Costs	75,000	35,000	110,000	75,000	430,000	505,000
Furniture & Equipment	7,000		7,000	7,000		7,000
Supplies, Material, Commodities	2,000	1,500	3,500	2,000	1,500	3,500
Sub-total	1,039,000	188,000	1,227,000	1,039,000	623,000	1,662,000
UN-PSC (13%)	135,070	24,440	159,510	135,070	80,990	216,060
Total budget	1,174,070	212,440	1,386,510	1,174,070	703,990	1,878,060

Unfunded CTF Activities:

Commitment Type	2021 un-funded	2022 un-funded	Remarks
Personnel	1,500	1,500	G2 staff position upgrade
Travel		125,000	Travel of meeting participants STACs/COPs/IGM
Contractual Services	150,000	65,000	Language services (translation & interpretation) and on-line hosting services
Operational Other Costs	35,000	35,000 + 395,000	Umoja/SAP system cost & UN House contribution
Furniture & Equipment			
Supplies, Material, Commodities	1,500	1,500	CETA material
Sub-total	188,000	623,000	
UN-PSC (13%)	24,440	80,990	
Total budget	212,440	703,990	



SG Measures – Common Premises

Seventy-second session

Agenda item 24 (a)

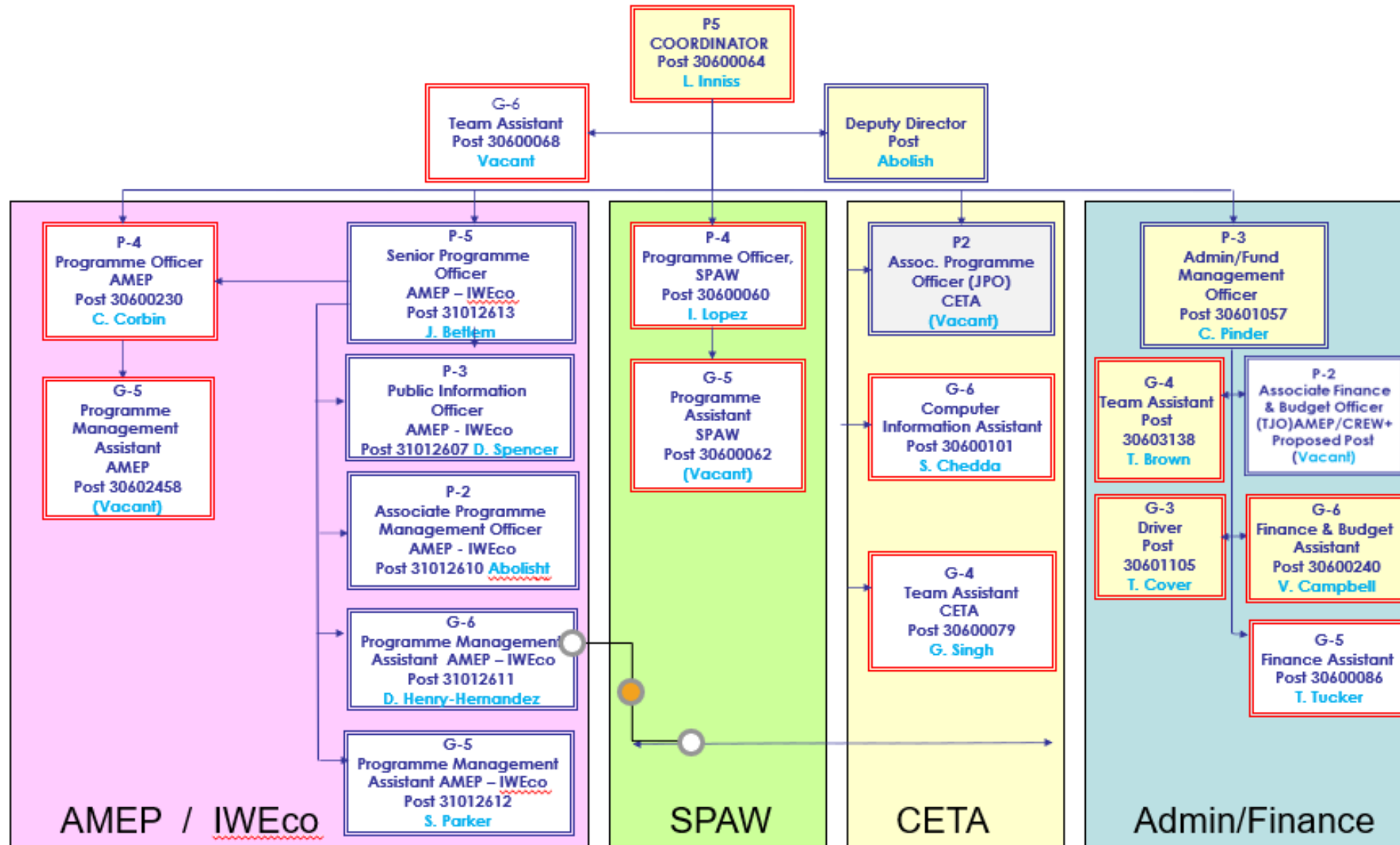
Resolution adopted by the General Assembly on 31 May 2018

[without reference to a Main Committee (A/72/L.52)]

72/279. Repositioning of the United Nations development system in the context of the quadrennial comprehensive policy review of operational activities for development of the United Nations system

6. *Welcomes* measures by the Secretary-General to advance common business operations, where appropriate, including common back-offices, and with the target of 50 per cent **common premises** by 2021, to enable joint work and generate greater efficiencies, synergies and coherence, and requests the implementation of those measures in accordance with resolution [71/243](#);

Organizational Chart – w/functional review



Proposed staffing changes:

Caribbean Trust Fund:

- Downward reclassification: D1 Principal Coordinator position to the P5 level
- Reclassification: G6 Finance Assistant Position to an Administrative Assistant
- Modification of funding source: G4 Team Assistant
- Upgrade: G2 Driver position to G3 Team Assistant

PSC - 62 OTA:

- Downward reclassification: P4 Admin. & Fund Management Officer position to the P3 level

Projects:

- Addition P-2 Associate Programme Officer (JPO – Germany) - 2021
- Abolition P-2 Associate Programme Officer – GEF-IWEco - 2021
- P-3 Programme Management Officer – 2022 (project in initial stages)
- G-5 Programme Assistant - 2022 (project in initial stages)
- 20% standard cost for Administrative Officer position - 2022 (project in initial stages)



Recommendations:

Projects to include funding for adequate staffing and operational support.

Parties are encouraged to honor their commitments to the Cartagena Convention.

Mutual Collaboration.

pinderc@un.org

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Grazie Danke Ευχαριστίες Dalu

Thank You Köszönöm

Tack

Спасибо Dank Gracias

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Obrigado