

**REPORTING FORMAT FOR EXEMPTIONS UNDER ARTICLE 11(2)**

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**SECTION RESERVED FOR THE ADMINISTRATION**

**Application No :**  
**Date of receipt:**  
**Name of the reviewer :**  
**Date of review by the STAC:**  
**Assessment of Pertinence by the STAC**  
**Date of review by the COP:**  
**Decision of the COP to note STAC Assessment of Pertinence:**

**I. CONTRACTING PARTY \***

Contracting Party: .....  
Contact Person: .....  
Title: .....  
Department: .....  
Contact address: .....  
Email: ..... Phone number: .....

*\* In the case of a common activity undertaken by a number of Parties in cooperation, a joint exemption report may be submitted, but assessment of the pertinence of an exemption is done on a Party-by-Party basis.*

**II. DESCRIPTION AND JUSTIFICATION OF THE PROHIBITED ACTIVITY\***

*\* If the space provided is insufficient, please add continuation sheets throughout this report to provide the details necessary for a STAC review*

**A. Description of the activity:**

☆ Has the exemption already been granted by the Contracting Party?       Yes       No

☆ Has the activity started?       Yes       No

☆ General description of the activity :

★Place and full address of activity (Attach map if necessary):

.....

★Commencement date:

.....

★Termination Date/or On-going:

.....

★Name, affiliation and qualification of the personnel (governmental and non-governmental) responsible and involved in the activity :

<b>Name</b>	<b>Experience</b>	<b>Affiliation (name, address)</b>

★Identification of the Government department responsible for oversight of the activity – Reference the domestic legal authority under which the exemption is granted:

★ Request for exemption to the protection of species of flora listed in Annex I of the Protocol for:

- picking
- collecting
- cutting
- uprooting
- possession of, or commercial trade in such species, their seeds, parts or products

★ Request for exemption to the protection of species of fauna listed in Annex II for :

- the taking of the species, their eggs, parts or products
- possession of the species, their eggs, parts or products
- killing (including, to the extent possible, the incidental taking\*, possession or killing)
- commercial trade of the species, their eggs, parts or products.

*\*Incidental take includes killing or destruction as an unintentional by-product of any kind of action, including fisheries, construction activities, recreational activities, mining, hydrological works, etc.*

★ The disturbance of the species, during periods of :

- breeding
- incubation
- estivation
- migration
- other periods of biological stress (please precise)

**B. Justification for the exemption** (check all the appropriate boxes; provide details on separate sheets if necessary)

- Scientific purposes
- Educational purposes
- Management purposes

★ Explain why the prohibited activity is necessary to ensure the survival of the impacted species or to prevent significant damage to forests or crops, and why it will not jeopardize any impacted species :

★ List the equipment and explain the methodology used for your activity (include substantive information as attachments or as hyperlinks). Consider that any methodology should conform to international best practices, which should be specified:

**III. DESCRIPTION OF THE SPECIES IMPACTED BY THE EXEMPTION**  
 (Please provide separate answers to the questions in this section for each listed species; use additional sheets if necessary):

★ SPAW listed species impacted by the exemption:

Species (Common name, Latin name)	Quantity harvested (if applicable)	Description of the species, specimen, individuals <sup>1</sup>

<sup>1</sup> Any specific characteristics such as sex, age, etc. ...

★Please give a detailed description of the current conservation status of the species subject to the prohibited activity (such information could include international and national status, management program, domestic legislation relating to the conservation of the species, nature of legal protection for the affected species, recovery plans for species, technical publications relevant to the species):

**IV. DESCRIPTION OF THE IMPACTS AND MITIGATION MEASURES**

★ Tick the box that applies to your situation concerning the principal threats to the subject species due to the prohibited activity :

- impacts on population size
- distribution (including number of sub-populations) and fragmentation
- cumulative impacts
- impacts on the quantity and quality of suitable habitats available for the species
- other threats to the species in the short- and long-term
- impacts on other species as a consequence of the prohibited activity

★ Explain why the prohibited activity will not jeopardize the species or, if relevant, other listed species.

*\*In the case of species or populations of a species migrating between two (or more) countries, the survival of the populations should be assessed separately for each country if the species resides in or migrates through and jointly for all countries the species resides in or migrates through.*

★ *Does the activity require a separate exemption by another Party, and if so which one?*

★ *Has an Environmental Impact Assessment (EIA) or equivalent process been completed? If so, please attach the EIS or other impact studies*

★ *Describe the mitigation measures designed to limit or counteract any deleterious effects (provide a list and supporting documentation, such as guidelines, policies, reports, videos/photographs etc. as attachments or hyperlinks)*

★ *Please give a detailed explanation of the monitoring or evaluation protocols that will be used to assess the effect of the activity on species populations, including changes in range, numbers, or reproductive success (include as attachments or hyperlinks)*

★ *Additional remarks*

\_\_\_\_\_  
Signature  
(Authorized responsible for the Contracting Party)

\_\_\_\_\_  
Date